



Evaluating Coupling Facility Lock Structures

Peter Enrico

Email: Peter.Enrico@EPStrategies.com



Enterprise Performance Strategies, Inc.
3457-53rd Avenue North, #145
Bradenton, FL 34210
<http://www.epstrategies.com>
<http://www.pivotor.com>

Voice: 813-435-2297
Mobile: 941-685-6789



Instructor: Peter Enrico

Enterprise Performance Strategies, Inc. ©

1

Enterprise Performance Strategies, Inc. ©

Contact, Copyright, and Trademark Notices



Questions?

Send email to Peter at Peter.Enrico@EPStrategies.com, or visit our website at <http://www.epstrategies.com> or <http://www.pivotor.com>.

Copyright Notice:

© Enterprise Performance Strategies, Inc. All rights reserved. No part of this material may be reproduced, distributed, stored in a retrieval system, transmitted, displayed, published or broadcast in any form or by any means, electronic, mechanical, photocopy, recording, or otherwise, without the prior written permission of Enterprise Performance Strategies. To obtain written permission please contact Enterprise Performance Strategies, Inc. Contact information can be obtained by visiting <http://www.epstrategies.com>.

Trademarks:

Enterprise Performance Strategies, Inc. presentation materials contain trademarks and registered trademarks of several companies.

The following are trademarks of Enterprise Performance Strategies, Inc.: **Health Check**®, **Reductions**®, **Pivotor**®

The following are trademarks of the International Business Machines Corporation in the United States and/or other countries: IBM®, z/OS®, zSeries®, WebSphere®, CICS®, DB2®, S390®, WebSphere Application Server®, and many others.

Other trademarks and registered trademarks may exist in this presentation

2



Abstract



• Evaluating Coupling Facility Lock Structures

- Typically, the Coupling Facility lock structures are the most expensive structures in your data sharing environment. In this context, expensive means that lock structure operations typically consume more MSUs on your z/OS images than other types of structures.
- During this presentation, Peter Enrico will discuss coupling facility lock structures and lock structure performance, so you have the insights you need to help improve performance and reduce MSUs.

3

Enterprise Performance Strategies, Inc. ©

EPS: We do z/OS performance...



- We love to bring you these complimentary webinars and to teach you how to do what we do!
- But remember, we would love to work with you!
 - **Pivotor - Performance reporting and analysis of your z/OS measurements (i.e. SMF, etc.)**
 - Not just reporting, but cost effective, analysis-based reporting based on our expertise
 - **Performance Educational Workshops (while analyzing your own data)**
 - Essential z/OS Performance Tuning
 - Parallel Sysplex and z/OS Performance Tuning
 - WLM Performance and Re-evaluating Goals
 - **Performance War Rooms**
 - Concentrated, highly productive group discussions and analysis

4



z/OS Performance Workshop Schedule



- Take note!
 - You will be analyzing your own data during the workshop!
 - All workshops are also available for in-house instruction
 - All 2021 workshops will be presented over the web (i.e. no travel)
- **Essential z/OS Performance Tuning**
 - Instructor: Scott Chapman
 - June 21 – 25, 2021
- **Parallel Sysplex and z/OS Performance Tuning**
 - Instructor: Peter Enrico
 - November 16 – 17, 2021
- **WLM Performance and Re-evaluating Goals**
 - Instructor: Peter Enrico
 - September 20 – 24, 2021

5

Enterprise Performance Strategies, Inc. ©

YouTube Mainframe Performance Channel



6

6



Like what you see?



- Free z/OS Performance Educational webinars!
 - The titles for our Fall 2020 / Winter 2021 webinars are as follows:
 - ✓ *WLM Response Time Goals Primer*
 - ✓ *Setting Response Time Goals for Modern Applications*
 - ✓ *Evaluating Latent Demand in the Mainframe Environment*
 - ✓ *Adjusting WLM Settings for Latent Demand*
 - ✓ *Improving Performance with Multiple Period Service Classes*
 - ✓ *Preparing for Any z/OS Performance Analysis*
 - ✓ *Evaluating Coupling Facility Lock Structures*
 - *Pivotor Exploration & Feature Update*
 - *Data in Memory (DIM) Primer*
 - *Counting Instructions: Valuable Insights or More Noise?*
 - Let me know if you want to be on our mailing list for these webinars
- If you want a free cursory review of your environment, let us know!
 - We're always happy to process a day's worth of data and show you the results
 - See also: <http://pivotor.com/cursoryReview.html>

7

Enterprise Performance Strategies, Inc. ©

Prepping for an Analysis



- The question is, where do you start?
 - Regardless of the analysis, the pre analysis tasks are the same regardless the subject of the analysis
- Say an analysis needs to be done, but you are not sure how to start:
 - **Newbie**
 - You are a zNextgen professional new to the Z platform
 - **Seasoned z/OS professional**
 - You are an experienced z/OS professional, but performance is not your strong suit
 - **z/OS performance pro**
 - You are a z/OS performance professional

Enterprise Performance Strategies, Inc. ©

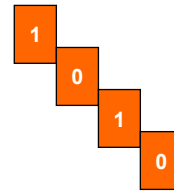
8



Lock Structures



- CF can be used as a highspeed locking facility by using lock structures
 - Lock structures are centralized lock tables maintained in the CF
- Lock structure made up of
 - Lock table containing information about the serialized resource
 - Lock record containing information about connected users
- Lock structures support
 - Shared lock state
 - Exclusive lock state
 - Application defined lock state
- Uses for lock structures include
 - Synchronous resource serialization
 - Resource contention detection



Enterprise Performance Strategies, Inc. ©

9

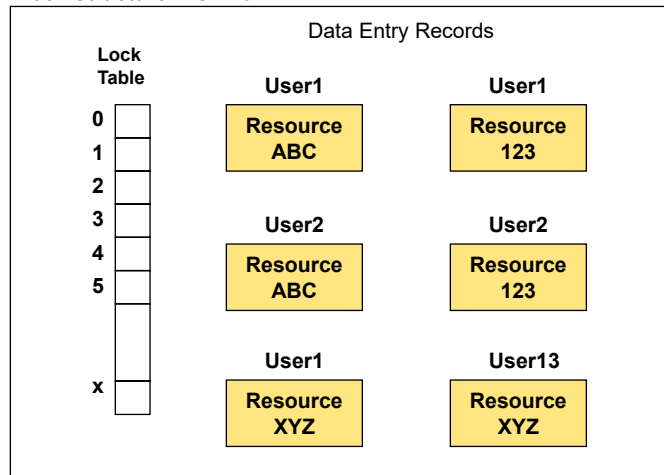
Enterprise Performance Strategies, Inc. ©

Lock Structure Components



- Lock structure made up of:
 - Lock table containing information about the serialized resource
 - Lock record containing information about connected users

Lock Structure: LOCK01



Enterprise Performance Strategies, Inc. ©

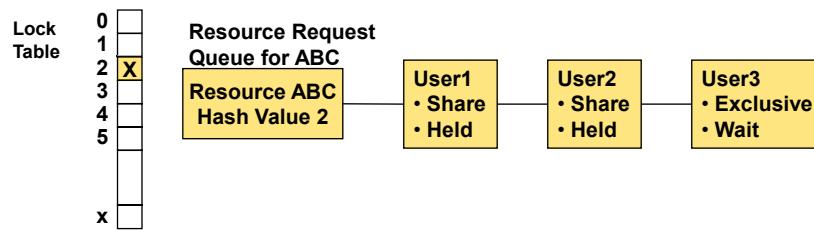
10



Real Lock Contention



- Contention caused by multiple units of work attempting to serialize on the same resource
 - Factors that influence real lock contention
 - How the locks are being used
 - Amount of time locks are held
 - Degree of data sharing
 - Alleviate real lock contention by tuning the workload (not by tuning the Sysplex or CF structures)



Enterprise Performance Strategies, Inc. ©

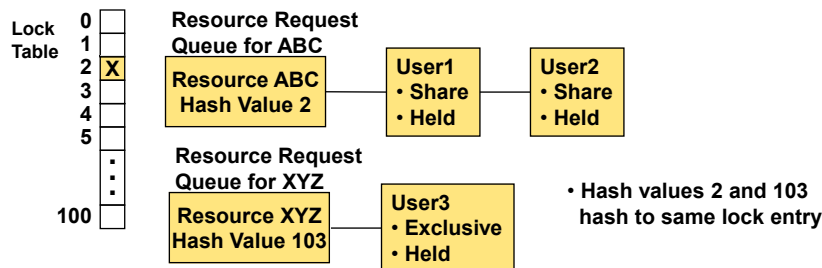
11

Enterprise Performance Strategies, Inc. ©

False Lock Contention



- When multiple lock names are hashed to the same lock entry
 - Could result in significant excessive processing overhead to resolve
 - Factors that influence false lock contention include:
 - Size of lock structure , Granularity of locking (record, file, block), Concurrent users connected to lock structure
 - Alleviate false lock contention by increasing lock structure size



Enterprise Performance Strategies, Inc. ©

12





Lock Structure Performance Considerations

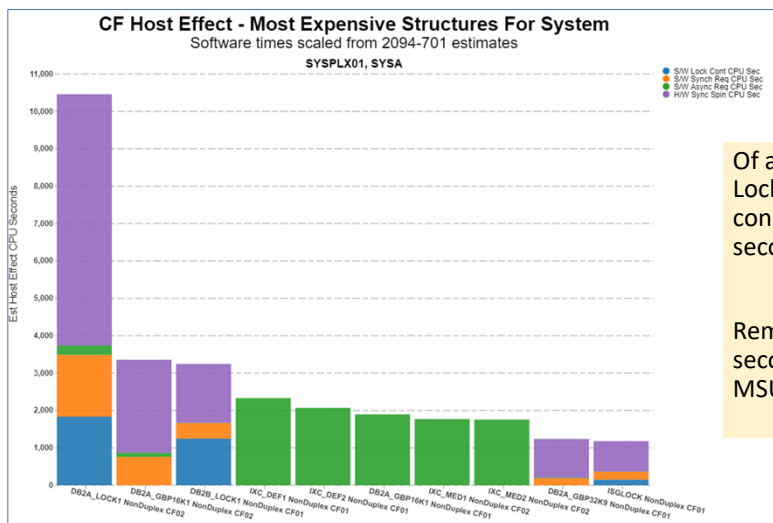
Enterprise Performance Strategies, Inc. ©

13

Enterprise Performance Strategies, Inc. ©



Why Lock Structure Performance Matters



Of all structure types, Lock structures typically consume the most CPU seconds on z/OS.

Remember, CPU seconds translate to MSUs.



Enterprise Performance Strategies, Inc. ©

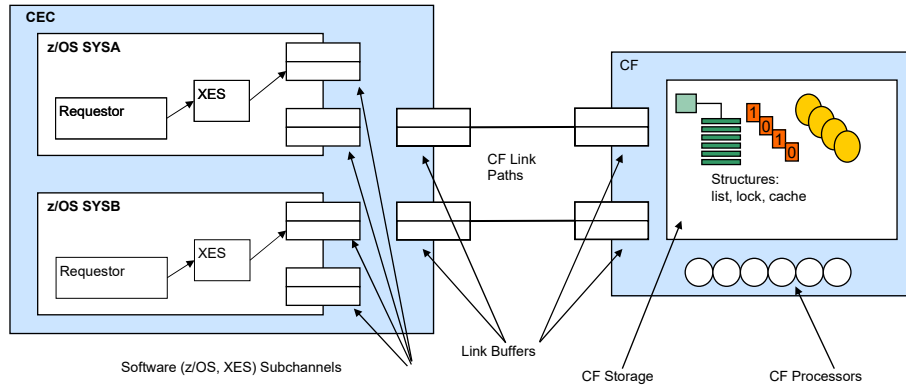
14



Performance View of a CF Request



- By their nature, lock requests are usually synchronous
 - And synchronous requests 'spin' on z/OS waiting for the CF response



Enterprise Performance Strategies, Inc. ©

15

Enterprise Performance Strategies, Inc. ©

First examine Lock structure response times



- Standard RMF and CMF reports show response time

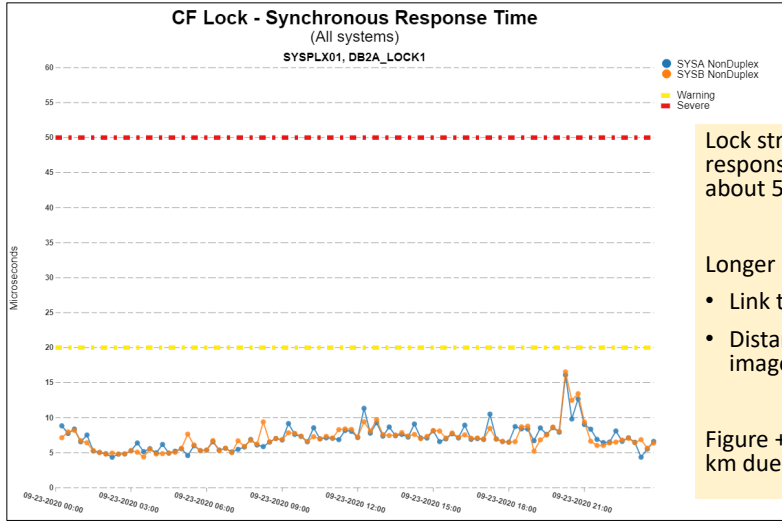
COUPLING FACILITY STRUCTURE ACTIVITY														
STRUCTURE NAME = DB2A LOCK1 TYPE = LOCK STATUS = ACTIVE														
REQUESTS														
SYSTEM NAME	# REQ	# OF REQ		-SERV TIME (MIC)-			REASON	# REQ	% OF REQ	--- DEL	AVG TIME (MIC)	---	EXTERNAL REQUEST	
	AVG/SEC	REQ	ALL	AVG	STD_DEV			REQ	REQ	/DEL	STD_DEV	/ALL	CONTENTIONS	
SYSB	1946K	SYNC	1946K	6.7	15.6	8.4	NO SCH	0	0.0	0.0	0.0	0.0	REQ TOTAL	2226K
	1081	ASYNC	0	0.0	0.0	0.0	PR WT	0	0.0	0.0	0.0	0.0	REQ DEFERRED	67K
		CHNGD	0	0.0	INCLUDED IN ASYNC		PR CMP	0	0.0	0.0	0.0	0.0	-CONT	67K
SYSB	3471K	SYNC	3471K	11.9	12.8	7.5	NO SCH	38	0.0	11.4	4.5	0.0	REQ TOTAL	3617K
	1928	ASYNC	0	0.0	0.0	0.0	PR WT	0	0.0	0.0	0.0	0.0	REQ DEFERRED	77K
		CHNGD	0	0.0	INCLUDED IN ASYNC		PR CMP	0	0.0	0.0	0.0	0.0	-CONT	77K
(edited...)														
TOTAL	29048K	SYNC	29M	100	12.9	7.6	NO SCH	785	0.0	9.7	16.6	0.0	REQ TOTAL	28M
	16138	ASYNC	4497	0.0	81.5	87.9	PR WT	0	0.0	0.0	0.0	0.0	REQ DEFERRED	376K
		CHNGD	0	0.0			PR CMP	0	0.0	0.0	0.0	0.0	-CONT	375K
													-FALSE CONT	81K

Enterprise Performance Strategies, Inc. ©

16



First look at Lock structure Sync response times



Lock structure synchronous response time guidelines are about 5 microseconds.

- Longer based on
- Link technology
 - Distance between the z/OS image and the CF.

Figure +10 microseconds per km due to speed of light



Enterprise Performance Strategies, Inc. ©

17

Enterprise Performance Strategies, Inc. ©

Also examine volume of requests



- Standard RMF and CMF reports show response time

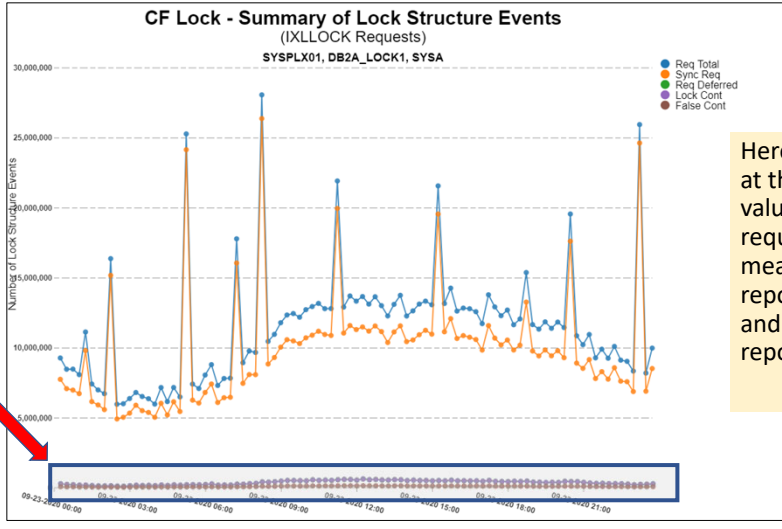
COUPLING FACILITY STRUCTURE ACTIVITY													
STRUCTURE NAME = DB2A_LOCK1 TYPE = LOCK STATUS = ACTIVE													
SYSTEM NAME	# REQ TOTAL AVG/SEC	# REQ	REQUESTS			REASON	DELAYED REQUESTS					EXTERNAL REQUEST CONTENTIONS	
			% OF ALL	-SERV TIME (MIC) AVG	STD_DEV		# REQ	% OF REQ	--- AVG TIME (MIC) /DEL	STD_DEV /ALL			
SYSA	1946K 1081	SYNC	1946K	6.7	15.6	8.4	NO SCH	0	0.0	0.0	0.0	0.0	REQ TOTAL 2226K REQ DEFERRED 67K -CONT 67K -FALSE CONT 16K
		ASync	0	0.0	0.0	0.0	PR WT	0	0.0	0.0	0.0	0.0	
		CHNGD	0	0.0	INCLUDED IN ASync		PR CMP	0	0.0	0.0	0.0	0.0	
SYSB	3471K 1928	SYNC	3471K	11.9	12.8	7.5	NO SCH	38	0.0	11.4	4.5	0.0	REQ TOTAL 3617K REQ DEFERRED 77K -CONT 77K -FALSE CONT 14K
		ASync	0	0.0	0.0	0.0	PR WT	0	0.0	0.0	0.0	0.0	
		CHNGD	0	0.0	INCLUDED IN ASync		PR CMP	0	0.0	0.0	0.0	0.0	
(edited...)													
TOTAL	29048K 16138	SYNC	29M	100	12.9	7.6	NO SCH	785	0.0	9.7	16.6	0.0	REQ TOTAL 28M REQ DEFERRED 376K -CONT 375K -FALSE CONT 81K
		ASync	4497	0.0	81.5	87.9	PR WT	0	0.0	0.0	0.0	0.0	
		CHNGD	0	0.0				0	0.0	0.0	0.0	0.0	

Enterprise Performance Strategies, Inc. ©

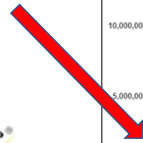
18



Requests over time (Deferred, Cont, False Cont)



Let's look at these measurements



Here we are looking at the absolute values of the all the request measurements reports on the RMF and CMF text reports.

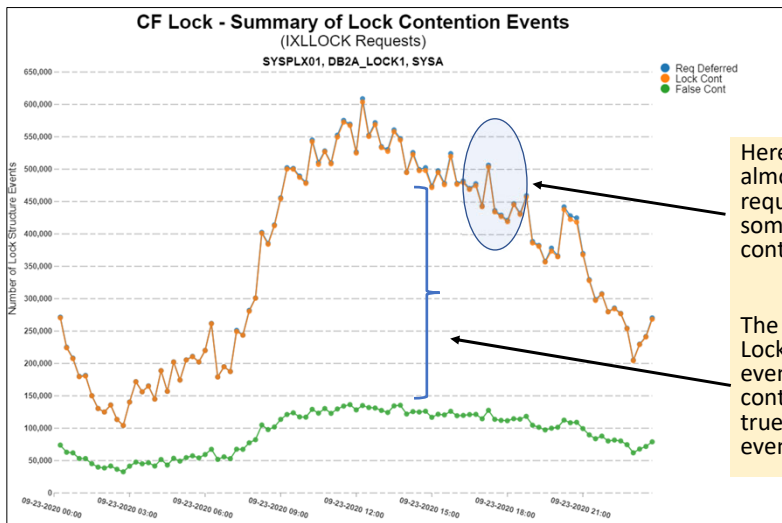


Enterprise Performance Strategies, Inc. ©

19

Enterprise Performance Strategies, Inc. ©

Requests over time



Here we see that almost all deferred requests are due to some sort of contention event

The delta between Lock contention events and false contention events is true contention events

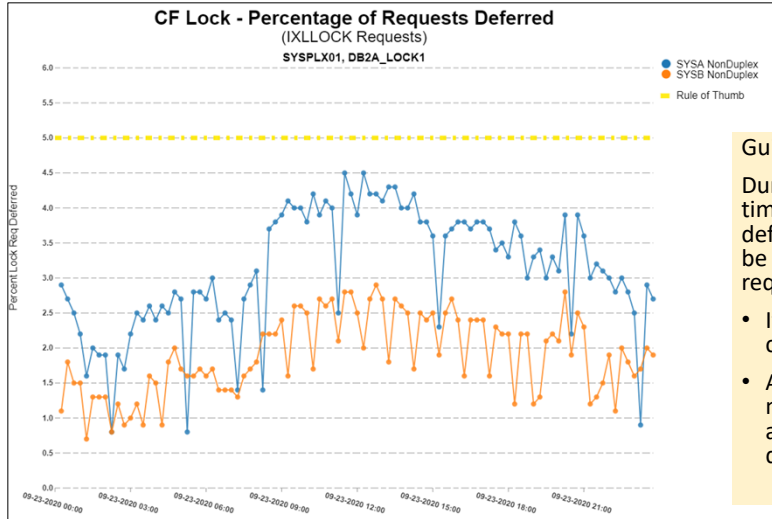


Enterprise Performance Strategies, Inc. ©

20



Percentage of Lock requests deferred



Guideline:
 During prime periods of time, percentage of deferred requests should be less than 5% of all requests.

- If higher, check false contention
- Also, could be due to need to tune application and database

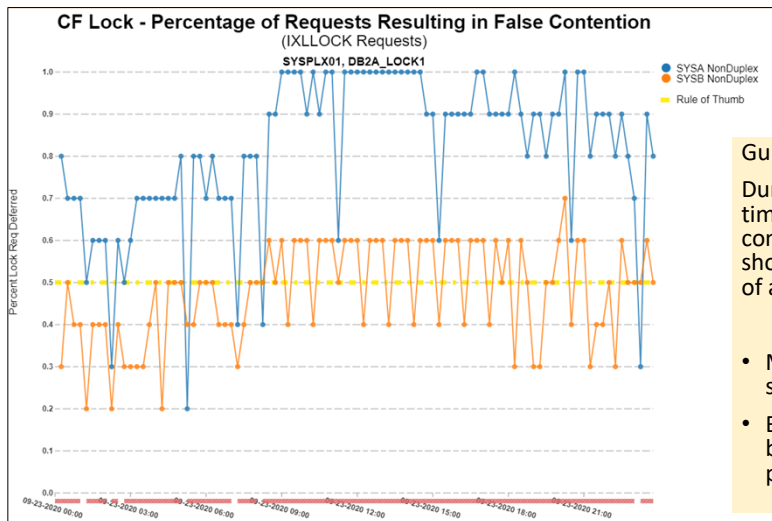


Enterprise Performance Strategies, Inc. ©

21

Enterprise Performance Strategies, Inc. ©

Percentage of False contention requests



Guideline:
 During prime periods of time, percentage of false contention requests should be less than 0.5% of all requests.

- May need to increase size of structure
- But size would need to be increased to next power of 2, plus some.

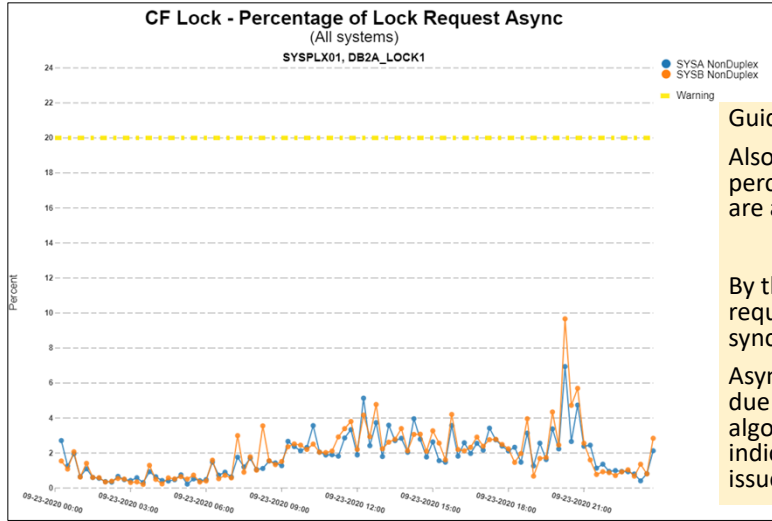


Enterprise Performance Strategies, Inc. ©

22



Percentage of Lock Requests Async



Guideline:
 Also check what percentage of requests are asynchronous.

By their nature, Lock requests are synchronous.

Async requests occur due to heuristic algorithms, and could indicate response time issues

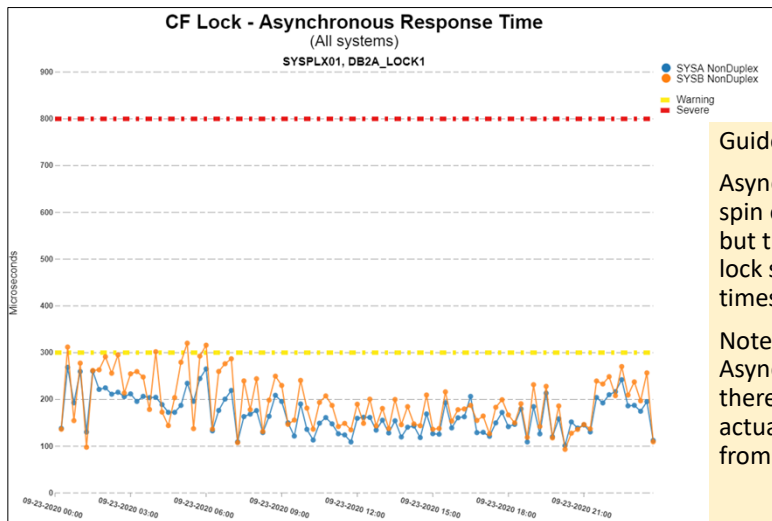


Enterprise Performance Strategies, Inc. ©

23

Enterprise Performance Strategies, Inc. ©

Look at Lock structure Async response times



Guideline:
 Async requests will not spin on the z/OS CPU, but they do elongate lock structure response times.

Note: in addition to Async response time, there is a cost to actually convert request from sync to async.



Enterprise Performance Strategies, Inc. ©

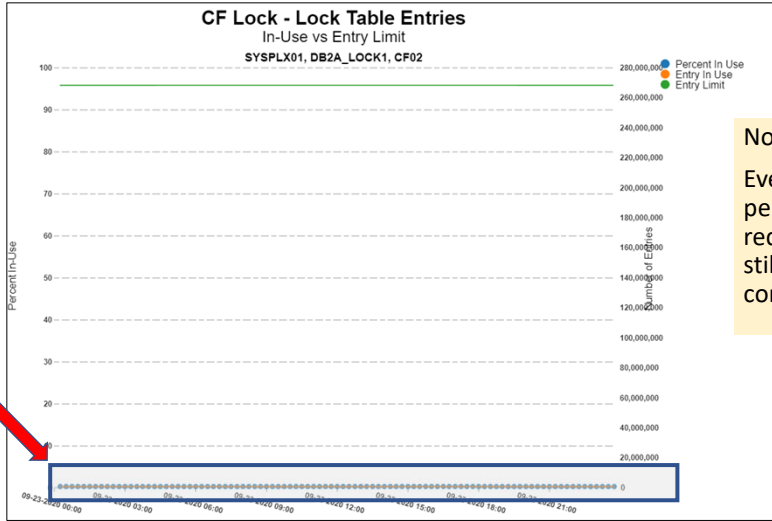
24



Percent Lock Table In Use



Let's look at these measurements



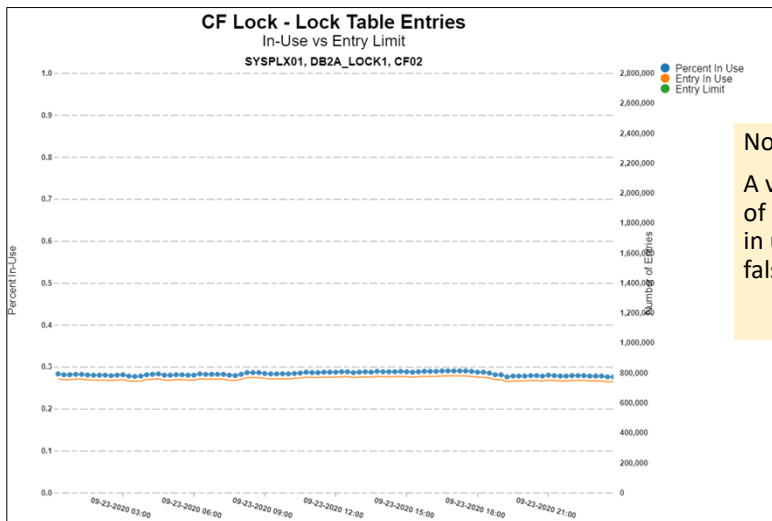
Note:
Even with a small percentage of lock table requests in use, you will still experience false contention requests.

Enterprise Performance Strategies, Inc. ©

25

Enterprise Performance Strategies, Inc. ©

Percent Lock Table In Use



Note:
A very small percentage of lock table entries are in use, yet we still see false contention.

Enterprise Performance Strategies, Inc. ©

26



Other lock structure considerations



- There are other lock structure considerations that were not discussed in this webinar, but need to be considered:
 - Lock structure placement
 - Coupling facility link technologies
 - Lock structure duplexing
 - Exploitation of asynchronous lock duplexing
 - Controls for heuristic algorithms to convert sync to async
 - Subchannel utilizations
 - Lock structure element sizing
 - Etc...
- Maybe for a future webinar?

Enterprise Performance Strategies, Inc. ©

27

Enterprise Performance Strategies, Inc. ©



Please Take Poll

Jamie has some reminders

Then Scott and Peter will take questions

Enterprise Performance Strategies, Inc. ©

28

